

## UNIQUE CONTINUATION FOR SCHRODINGER OPERATORS WITH SINGULAR POTENTIALS

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**ABSTRACT:** It is shown in this paper that the Schrodinger operator with a potential satisfying  $|V(x)| \leq M/|x|^2$  a.e. in the unit ball  $B$  has the strong unique continuation property in  $H^{2,2}(B)$  for  $n \geq 2$ .

### 1. Introduction

Consider the Laplace operator  $\Delta = -\sum \frac{\partial^2}{\partial x_i^2}$  on  $R^n$  and a function  $V(x)$  on the unit ball  $B = B(0,1)$  of  $R^n$ . We say the Schrodinger operator

$$\Delta u + Vu = 0$$

satisfies the strong unique continuation property in Sobolev space  $H^{2,q}(B)$ , if whenever  $u \in H^{2,q}(B)$  is a solution of the operator and vanishes to infinite order at a point,  $u$  is identically zero. Here, a function  $f \in L^2_{loc}$  is said to vanish to infinite order at 0 if

$$\int_{|x|<r} f^2 dx = O(r^k)$$

for every  $k$  as  $r \rightarrow 0$ . When  $n = 2$  and  $V$  is bounded, Carleman proved a unique continuation theorem, and all subsequent work follows his basic idea. There is large literature of applications of Carleman-type inequalities to this and other uniqueness questions.

Over the past several years, considerable attention has been paid to the case  $V \in L^\omega(B)$  for  $\omega < \infty$ ; this is largely because the unique continuation property can be used to prove that the Schrodinger operator on  $L^2(\mathbb{R}^n)$  has no positive eigenvalues provided  $V \in L_{loc}^\omega(\mathbb{R}^n)$  and  $V$  has suitable behavior at infinity. Recently, Jerison and Kenig [JK] proved that if  $n > 2$ , the Schrodinger operator has the strong unique continuation property for  $V \in L^{n/2}$  in the Sobolev space  $H^{2,q}(B)$  with  $q = 2n/(n+2)$ . This improves all previous results (see the references in [JK]) and is best possible in the context of  $L^p$  spaces. However Jerison and Kenig's theorem does not cover the case  $V(x) = C/|x|^2$  since it does not belong to  $L^{n/2}(B)$ . We take up this issue and prove the following.

**Theorem 1.** *Suppose  $n \geq 2$ . The Schrodinger operator with the potential  $|V(x)| \leq M/|x|^2$  a.e. in  $B$  has the strong unique continuation property in  $H^{2,2}(B)$ .*

**Remarks.** 1) Theorem 1 establishes the unique continuation result in an important borderline case not covered by the general result of Jerison and Kenig [JK] since  $1/|x|^2 \notin L^{n/2}$ . Moreover, since we do not require any local restriction on the size of  $V$ , Theorem 1 is not contained either in E. Stein's subsequent improvement of Jerison and Kenig's result concerning  $V \in L_{loc}^{n/2,\infty}(\mathbb{R}^n)$ , the Lorentz space of weak  $n/2$  type, see [JK]. It is worth mentioning that T. Wolff has constructed an example showing that in the result of Stein, a smallness condition on the norm of the potential is needed [W]. On the other hand, easy examples show that the potential  $C/|x|^2$  is of strongest singularity for unique continuation to hold. For example, as in [JK], if  $\epsilon > 0$ ,  $f(x) = e^{-(\log 1/|x|)^{1+\epsilon}}$  for  $|x| < 1$ , then  $f$  vanishes at 0 of infinite order, and  $(\Delta f/f) = V$  satisfies  $V \approx (\log 1/|x|)^{2\epsilon}(1/|x|^2)$ .

2) The Schrodinger operators

$$H = -\Delta + V \quad (1)$$

were also considered in [GL] and some related results were obtained. It was shown by Garofalo and F.H. Lin in [GL] that if  $V = \frac{f(\omega)}{|x|^2}$  where  $f$  is a bounded function homogeneous of degree zero, i.e., if  $\omega = x/|x|, x \neq 0$ , we have

$$f(\omega) = f\left(\frac{x}{|x|}\right) \quad \text{and} \quad |f(\omega)| \leq C$$

the operator  $H$  has the unique continuation property. So this result may be considered as a special case of Theorem 1. In particular it was also shown in [GL] that if  $V(x) = V^+(x) - V^-(x)$  satisfies

$$0 \leq V^+(x) \leq \frac{C}{|x|^2}$$

and

$$0 \leq V^-(x) \leq \frac{C}{|x|^2}$$

for  $x \in B$ , then any solution  $u$  of (1) in  $H^{1,2}$  satisfying

$$\int_{|x|<r} u^2 dx = O(\exp -Ar^\alpha) \text{ for some } A, \alpha > 0$$

as  $r \rightarrow 0$  must be identically zero in  $B$ .

In this paper, we also consider the partial differential equation

$$Lu = -\Delta + b(x)\nabla u + V(x)u = 0. \tag{2}$$

in  $B$ . Assume that there exists  $r_0 > 0$ ,  $f : (0, r_0) \rightarrow R^+$  and  $c > 0$  such that  $f$  is increasing and satisfies

$$\int_0^{r_0} \frac{f(r)}{r} dr < \infty. \tag{3}$$

and for  $x \in B$ ,

$$|b(x)| \leq \frac{Cf(|x|)}{|x|}, \quad |V(x)| \leq \frac{Cf(|x|)}{|x|^2}. \tag{4}$$

In [GL], Garofalo and Lin proved in particular that the operator  $L$  satisfying the conditions (2), (3) and (4) has the strong unique continuation property in the sense that the only  $H_{loc}^{1,2}(\Omega)$  solution of  $Lu = 0$  which vanishes of infinite order at 0 is  $u = 0$ . Concerning the function  $f$  we note from (3) that  $f(x)$  tends to zero as  $x$  tends to 0. So it would be interesting to see if one can weaken the condition (4) by replacing  $f$  by 1 in (4) to prove the unique continuation property.

We prove the unique continuation result for smooth solutions of (2) with some restrictions on the coefficients.

**Theorem 2.** Let  $u \in C^\infty(B)$  be a solution of

$$-\Delta u + a(|x|)\partial_r u + V(|x|)u = 0 \quad (5)$$

where  $r = |x|$  and  $a$  and  $V$  are radial functions satisfying

$$|a(|x|)| \leq \frac{C}{r}, \quad |V(|x|)| \leq \frac{C}{r^2}.$$

If  $u$  vanishes of infinite order at 0, then  $u = 0$  in  $B$ .

In  $R^2$ , we prove the following, the proof of which is reduced to the Cauchy-Riemman operator.

**Theorem 3.** Let  $u \in H^{1,2}(B)$  where  $B \subset R^2$ , be a solution of

$$-\Delta u + a(x)\nabla u = 0$$

where the vector function  $a(x)$  satisfies

$$|a(x)| \leq \frac{C}{|x|}.$$

If  $u$  vanishes of infinite order at 0, then  $u = 0$  in  $B$ .

**Remark.** A special case of the main theorem in  $R^2$  in [K] can be stated as follows: an operator of the form

$$-\Delta + a(x)\nabla u$$

in  $R^2$  has the strong unique continuation property if  $a(x)$  satisfies

$$|a(x)| \leq \frac{C}{r(\log \frac{2}{r})}$$

in  $B$ . So Theorem 3 generalizes this special case by allowing the best possible singularity for unique continuation to hold in this case. A counterexample of this kind is given in the end of the paper.

## 2. Proof of Theorem 1

Our proof of Theorem 1 is based on the Carleman-type inequality of Amerin et al. in [ABG]. We state a special case of it for our need. Let

us denote by  $H_c^{2,q}(\Omega)$  the subspace of  $H^{2,q}(\Omega)$  of functions having compact support in  $\Omega$ .

**Theorem 4. (Amerin et al.)** *The inequality*

$$\| |x|^\tau f \|_{L^2(\mathbb{R}^n)} \leq C(\tau) \| |x|^{\tau+2} \Delta f \|_{L^2(\mathbb{R}^n)} \tag{6}$$

holds for any  $\tau \in \mathbb{R}$  and for all  $f \in H_c^{2,2}(\mathbb{R}^n - 0)$ . The constant  $C(\tau)$  is finite provided that

$$(\tau - l + 2 - n/2)(\tau + l + n/2) \neq 0$$

for  $l = 0, 1, 2, 3, \dots$  and it is given by

$$C(\tau) = \text{Sup}_{l \geq 0} |(\tau - l + 2 - n/2)(\tau + l + n/2)|^{-1}.$$

**Remark.** With  $\tau = -(n/2 + 1/2 + m)$ ,  $m = 1, 2, \dots$ ,  $C(\tau)$  is not only uniformly bounded but also goes to zero as  $m \rightarrow \infty$ . This is one of the key facts that will be used in the proof of Theorem 1. Indeed, if  $\tau_m = -(n/2 + 1/2 + m)$ , then

$$(\tau - l + 2 - n/2)(\tau + l + n/2) = -(n + m + l - 3/2)(l - m - 1/2)$$

Since  $l, m$  are nonnegative integers, we have  $|l - m - 1/2| \geq 1/2$ . It follows that

$$\begin{aligned} C(\tau_m) &= \text{Sup}_{l \geq 0} |(\tau_m - l + 2 - n/2)(\tau_m + l + n/2)|^{-1} \\ &= \text{Sup}_{l \geq 0} ((n + m + l - 3/2)|l - m - 1/2|)^{-1} \\ &\leq \frac{2}{n + m - 3/2}, \end{aligned}$$

which tends to 0 as  $m \rightarrow \infty$ .

To prove Theorem 1, we need to check if the vanishing to infinite order of a solution of the Schrödinger operator implies to that of its gradient. To this end, we begin with the following lemma.

**Lemma 5.** *Let  $f$  be a  $H^{2,2}(B)$  solution of (1) with  $|V(x)| \leq M/|x|^2$  a.e. such that it vanishes to infinite order at the origin. Then  $\nabla f$  also vanishes to infinite order at 0.*

*Proof.* We will use the well-known  $L^2$  inequality,

$$\int_{|x| < \frac{a}{2}} |\nabla f|^2 dx \leq C \left\{ \frac{1}{a^2} \int_{|x| < a} f^2 dx + a^2 \int_{|x| < a} (\nabla f)^2 \right\}.$$

Now we prove that if  $f$  vanishes to infinite order, then so does  $|x|^{-2}f$ . Given  $n$ , choose  $M$  so that

$$\int_{|x| < a} f^2 dx \leq M a^{n+4}.$$

Then we have

$$\begin{aligned} \int_{|x| < a} (|x|^{-2}f)^2 dx &= \sum_{j=0}^{\infty} \int_{2^{-(j+1)}a < |x| < 2^{-j}a} (|x|^{-2}f)^2 \\ &\leq \sum_{j=0}^{\infty} (2^{-j+1}a)^{-4} \int_{|x| < 2^{-j}a} f^2 dx \\ &\leq \sum_{j=0}^{\infty} (2^{-j+1}a)^{-4} (2^{-j}a)^{n+4} M \\ &= 32M a^n. \end{aligned}$$

So  $|x|^{-2}f$  vanishes to infinite order. Since  $|\Delta f| \leq M|x|^{-2}|f|$ , both terms on the right side of the  $L^2$  inequality are  $O(a^n)$  for every  $n$  and the lemma follows.

*Proof of Theorem 1.*

Let  $f \in H^{2,2}(B)$  be a solution of the Schrodinger operator. Let  $\phi \in C_0^\infty(B)$  be a cutoff function such that  $0 \leq \phi \leq 1, \phi(x) = 1$  for  $|x| < .5$ . Let  $g = \phi f$ . Choose  $0 \leq \Psi_j \leq \Psi_{j+1} \leq 1, \Psi_j(x) = 1$  for  $|x| > 2/j, \Psi_j(x) = 0$  for  $|x| < 1/j, |\nabla \Psi_j(x)| \leq C'j, |\Delta \Psi_j(x)| \leq C'j^2$ . Let  $g_j(x) = \Psi_j(x)g(x)$ .

Now it is easy to that  $g_j \in H_c^{2,2}(R^n - 0)$  since  $f \in H^{2,2}(B)$ . By Theorem 4, we see that (6) holds for  $g_j$ . From now on we set  $\tau = \tau^m = -(n/2 + 1/2 + m), m = 1, 2, 3, \dots$ , for which it is shown early that the constants  $C(\tau)$  appearing in Theorem 5 satisfy  $C(\tau_m) = O(1/m)$ . Now choose  $0 < \rho < 1/2$  and  $m$  sufficiently large such that  $C(\tau_m)M < 1/2$ . we shall show that  $f = 0$  in  $|x| < \rho$ . We have by (6)

$$\begin{aligned} ||x|^\tau \Psi_j f ||_{L^2(|x| < \rho)} &\leq ||x|^\tau g_j ||_{L^2(dx)} \leq C(\tau) ||x|^{\tau+2} \Delta g_j ||_{L^2(dx)} \\ &\leq C(\tau) ||x|^{\tau+2} \Delta (\Psi_j f) ||_{L^2(|x| < \rho)} \\ &+ C(\tau) ||x|^{\tau+2} \Delta g ||_{L^2(|x| > \rho)}. \end{aligned} \tag{9}$$

Leibniz's rule shows that  $\Delta(\Psi_j f) = \Psi_j \Delta f + f \Delta \Psi_j + 2 \nabla \Psi_j \nabla f$ . Thus, the right hand side of (9) is bounded (for  $j$  large) by

$$C(\tau) \| |x|^{\tau+2} \Psi_j \Delta f \|_{L^2(|x| < \rho)} + C' C(\tau) j^{-\tau} \| f \|_{L^2(|x| < 2/j)} \\ + C' C(\tau) j^{-\tau-1} \| \nabla f \|_{L^2(|x| < 2/j)} + C(\tau) \rho^{\tau+2} \| \Delta g \|_{L^2(|x| > \rho)}.$$

Since  $|\Delta f| \leq |V(x)| |f(x)|$ , we have, using  $|x|^2 |V| \leq M$ ,

$$C(\tau) \| |x|^{\tau+2} \Psi_j \Delta f \|_{L^2(|x| < \rho)} \leq C(\tau) \| |x|^{\tau+2} \Psi_j V f \|_{L^2(|x| < \rho)} \\ = C(\tau) \| |x|^\tau \Psi_j f |x|^2 V \|_{L^2(|x| < \rho)} \\ \leq C(\tau) M \| |x|^\tau \Psi_j f \|_{L^2(|x| < \rho)} \\ \leq 1/2 \| |x|^\tau \Psi_j f \|_{L^2(|x| < \rho)} < +\infty. \quad (10)$$

The last term is finite since  $f \in H^{2,2}(B)$ . Thus from (9) and (10)

$$1/2 \| |x|^\tau \Psi_j f \|_{L^2(|x| < \rho)} \leq C' C(\tau) j^{-\tau} \| f \|_{L^2(|x| < 2/j)} \\ + C' C(\tau) j^{-\tau-1} \| \nabla f \|_{L^2(|x| < 2/j)} + C(\tau) \rho^{\tau+2} \| \Delta g \|_{L^2(|x| > \rho)}. \quad (11)$$

We need to show the first two terms go to zero as  $j \rightarrow \infty$ . But by the definition and Lemma 5, we have

$$j^{-\tau} \| f \|_{L^2(|x| < 2/j)} \rightarrow 0$$

$$j^{-\tau-1} \| \nabla f \|_{L^2(|x| < 2/j)} \rightarrow 0$$

as  $j \rightarrow \infty$ . It is worth mentioning that  $\tau = \tau_m$  are negative. So by the monotone convergence theorem, it follows from (11)

$$\| (|x|/\rho)^\tau f \|_{L^2(|x| < \rho)} \leq 2C(\tau) \rho^2 \| \Delta g \|_{L^2(|x| > \rho)}$$

Letting  $m \rightarrow \infty$  with  $\tau = \tau_m$ , we see that  $f = 0$  on  $|x| < \rho$ . The proof of Theorem is then complete.

### 3. Proofs of Theorem 2 and 3

In this section we shall see that the proof of Theorem 2 is reduced to prove the following lemma.

**Lemma 6.** *Let  $u \in C^\infty((0, R])$  and satisfy*

$$|u''(r)| \leq C\left(\frac{1}{r^2}|u(r)| + \frac{1}{r}|u'(r)|\right). \tag{12}$$

*If  $u = O(r^N)$  for every  $N$  as  $r \rightarrow 0$ , then  $u = 0$  in  $[0, R]$ .*

Assuming the truth of Lemma 6, we begin with

*Proof of Theorem 2.* For  $x \in R^n$ , we set  $r = |x|$  and  $x = r\omega$  where  $\omega$  varies over the unit sphere  $S_{n-1}$ . The Laplacian  $\Delta$  can be written in the spherical coordinates

$$\Delta = \frac{\partial^2}{\partial r^2} + \frac{n-1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \Delta_\omega$$

where  $\Delta_\omega$  is the Laplace-Beltrami operator on  $S_{n-1}$ . In  $L^2(S_{n-1})$  we choose an orthonormal basis of spherical harmonics  $P_{l,\alpha}$  with  $l \in N$  and  $1 \leq \alpha \leq \alpha(l)$  where

$$\alpha(l) = \frac{(2l+n-2)(n+l-3)!}{(n-2)!!}$$

for  $n \geq 2$ . In particular, we have

$$\Delta_\omega(P_{l,\alpha}(\omega)) = -l(l+n-2)P_{l,\alpha}(\omega). \tag{13}$$

For  $R' < R$ ,  $u \in C^\infty(\overline{B}_{R'})$ , and we have

$$u(x) = \sum_{l=0}^{\infty} \sum_{\alpha=1}^{\alpha(l)} \tilde{u}_{l,\alpha}(r) P_{l,\alpha}(\omega)$$

where

$$\tilde{u}_{l,\alpha}(r) = \int_{S_{n-1}} u(r\omega) \overline{P_{l,\alpha}(\omega)} d\omega. \tag{14}$$

The series above converges uniformly in  $B_{R'}$  and  $\tilde{u}_{l,\alpha} \in C^2$ .

We will show that  $\tilde{u}_{l,\alpha} = 0$  in  $B_{R'}$ . Indeed, substituting Laplacian into the equation (5) in Theorem 2, multiplying the resulting equation by  $\overline{P_{l,\alpha}}$ , integrating with respect to  $d\omega$  over  $S_{n-1}$ , and using the self-adjointness of  $\Delta_\omega$  and the equations (13) and (14) we obtain the equation satisfied by  $\tilde{u}_{l,\alpha}$

$$\frac{\partial^2}{\partial r^2} \tilde{u}_{l,\alpha} + \frac{n-1}{r} \frac{\partial}{\partial r} \tilde{u}_{l,\alpha} + a(r) \frac{\partial}{\partial r} \tilde{u}_{l,\alpha} + \left\{ V(r) - \frac{l(l+n-2)}{r^2} \right\} \tilde{u}_{l,\alpha} = 0. \tag{15}$$



From (15), there exists a constant  $C$  depending on  $a, b$  such that

$$|\tilde{u}''_{i,\alpha}(r)| \leq C\left(\frac{1}{r}|\tilde{u}'_{i,\alpha}(r)| + \frac{1}{r^2}|\tilde{u}_{i,\alpha}(r)|\right). \tag{16}$$

Then by Lemma 6 and (16),  $\tilde{u}_{i,\alpha} = 0$ , so  $u = 0$ .

Now we are in a position to prove Lemma 6 and Theorem 3.

*Proof of Lemma 6.* First we observe that if  $u = O(r^N)$ , then  $u' = O(r^N)$  and  $u'' = O(r^N)$  for every  $N$ . Therefore the functions  $r^{-\beta}u, r^{-\beta}u'$  and  $r^{-\beta}u''$  are integrable on  $(0, R]$ . We claim that if  $u = O(r^N)$  for every  $N$ , then the following holds: there exists a constant  $C$  such that for  $\beta \geq 2$

$$\int_0^R (r^{-4}|u(r)|^2 + r^{-2}|u'(r)|^2)r^{-\beta} dr \leq \frac{C}{(\beta+1)^2} \int_0^R |u''(r)|^2 r^{-\beta} dr. \tag{17}$$

Indeed, consider the identity

$$(u'^2)' = 2u'u''. \tag{18}$$

Multiplying  $r^{-\beta-1}$  on (18) gives

$$r^{-\beta-1}(u'^2)' = r^{-\beta-1}2u'u''. \tag{19}$$

We get from (19)

$$(r^{-\beta-1}u'^2)' + (\beta+1)r^{-\beta-2}u'^2 = 2r^{-\beta-1}u'u''. \tag{20}$$

Integrating (20) over  $[0, R]$  gives, using  $u = O(r^N)$ ,

$$R^{-\beta-1}u'(R)^2 + (\beta+1) \int_0^R r^{-\beta-2}u'^2 dr = 2 \int_0^R r^{-\beta-1}u'u'' dr.$$

Then we have the inequality

$$(\beta+1) \int_0^R r^{-\beta-2}u'^2 dr \leq 2 \int_0^R r^{-\beta-1}u'u'' dr. \tag{21}$$

On the other hand we have

$$\int_0^R r^{-\beta-1}u'u'' dr = \int_0^R \left(\frac{\beta+1}{4}\right)^{1/2} r^{-\beta-2/2} u' \left(\frac{4}{\beta+1}\right)^{1/2} r^{-\beta/2} u'' dr$$

$$\leq \frac{\beta+1}{4} \int_0^R u'^2 r^{-\beta-2} dr + \frac{4}{\beta+1} \int_0^R u''^2 r^{-\beta} dr. \quad (22)$$

From (21) and (22) it follows that

$$\int_0^R r^{-\beta-2} u'^2 dr \leq \frac{4}{(\beta+1)^2} \int_0^R u''^2 r^{-\beta} dr. \quad (23)$$

Now we consider

$$\begin{aligned} \int_0^R u^2 r^{-\beta-4} dr &= -\frac{R^{-\beta-3}}{\beta+3} u^2(R) + \frac{1}{\beta+3} \int_0^R 2uu' r^{-\beta-3} dr \\ &\leq \frac{2}{\beta+3} \int_0^R uu' r^{-\beta-3} dr \\ &= \frac{2}{\beta+3} \left\{ \left(\frac{\beta+3}{4}\right)^{1/2} u r^{-\beta-4/2} \left(\frac{4}{\beta+3}\right)^{1/2} u' r^{\beta-2/2} dr \right\} \\ &\leq \frac{1}{2} \int_0^R u^2 r^{-\beta-4} dr + \frac{8}{(\beta+3)^2} \int_0^R u'^2 r^{-\beta-2} dr. \quad (24) \end{aligned}$$

Therefore we get from (23) and (24)

$$\int_0^R u^2 r^{-\beta-4} dr \leq \frac{16}{(\beta+3)^2} \int_0^R u''^2 r^{-\beta} dr \quad (25)$$

Combining (23) and (25) yields the proof of (12). Now we are back to prove Lemma 6. Indeed if  $u$  is not identically zero then we come out with a contradiction by letting  $\beta \rightarrow \infty$  in (17).

To prove Theorem 3 it suffices to prove the following lemma which can be considered as a generalization of a lemma in [BL].

**Lemma 7.** Suppose  $v$  is a function on the unit disk such that  $|v_{\bar{z}}| \leq \frac{C}{|z|} |v|$  for some positive constant  $C$ . If  $v$  vanishes of infinite order at the origin, then  $v$  is identically zero.

*Proof.* Define a function  $\lambda$  on the unit disk to be equal to  $v_{\bar{z}}/v$  when  $v$  is not zero and equal to zero when  $v$  is zero. Note that  $\lambda$  is well-defined except at  $z = 0$ . But by assumption,  $\lambda$  is  $L^1$  integrable on the unit disk. So  $\lambda(z) dz \wedge d\bar{z}$  is a Borel measure with compact support  $U$ , the unit disk. Define a function  $u$  on  $U$  via

$$u(z) = \frac{1}{2\pi i} \int_U \frac{\lambda(\zeta)}{\zeta - z} d\zeta \wedge d\bar{\zeta}. \quad (26)$$

We claim that  $u(z) = O(\log \frac{1}{|z|})$  when  $z \neq 0$ , i.e, we have to prove by (26)

$$\int_U \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta| |\zeta - z|} = O(\log \frac{1}{|z|}). \tag{27}$$

Assuming the truth of the claim, we prove the lemma. Note that  $u$  is continuous on  $U$  except at 0 and has the property that it is  $C^\infty$  where  $v$  is not zero and  $u_{\bar{z}} = v_{\bar{z}}/v$  when  $v \neq 0, z \neq 0$ . Now consider the function  $h = ve^{-u}$ . So  $h$  is continuous on  $U - 0$  and is holomorphic when it is not zero. Thus, Rado's Theorem implies that  $h$  is holomorphic on  $U - 0$ . Now we want to show that 0 is also a removable singularity of  $h$ . Indeed, let  $O = \{\Re u > 0\}$ . Then on  $O$

$$|e^{-u}| = e^{-\Re u} \leq 1.$$

On  $U - O$ , by the claim,

$$-\Re u \leq |u| \leq C \log \frac{1}{|z|}$$

for some positive constant  $C$ . Therefore. on  $U - O$

$$|e^{-u}| = e^{-\Re u} \leq \frac{1}{|z|^C}.$$

Since  $v$  vanishes of infinite order at 0, there exists a  $M > 0$  such that

$$|v(z)| \leq M|z|^C.$$

Then

$$|h(z)| = |v(z)||e^{-u}| \leq M$$

for  $z \in U$ , i.e., 0 is a removable singularity of  $h$ .

Now it is clear that  $h$  vanishes of infinite order at 0 so it must be zero identically, and hence, so must  $v$ . The proof of Lemma 7 is complete provided we prove the claim. To this end, let us break  $U$  into three pieces as follows:

$$I = \{|\zeta| < a/2\}$$

where  $0 < a = |z| < 1/2$ ,

$$II = \{a/2 < |\zeta| < 2a\} \quad \text{and} \quad III = \{2a < |\zeta| < 1\}$$

Then we have

$$\int_I \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta||\zeta - a|} \leq \frac{C}{a} \int_I \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta|} = O(1), \quad (28)$$

and

$$\begin{aligned} \int_{II} \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta||\zeta - a|} &\leq \frac{C}{a} \int_I \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta - a|} \\ &\leq \frac{C}{a} \int_0^{2\pi} \int_0^{2a} d\rho d\theta = O(1), \end{aligned} \quad (29)$$

where we have used the polar coordinates centered at  $a$ . Finally,

$$\begin{aligned} \int_{III} \frac{d\zeta \wedge d\bar{\zeta}}{|\zeta||\zeta - a|} &= \int_{III} \frac{d\rho d\theta}{|\rho e^{i\theta} - a|} \\ &= \int_0^{2\pi} \int_a^1 \frac{d\rho d\theta}{((\rho - a)^2 + 2\rho a \sin^2 \theta/2)^{1/2}} \\ &\leq 2\pi \int_{2a}^1 \frac{d\rho}{(\rho - a)} = \log \frac{1}{a} + C. \end{aligned} \quad (30)$$

Combining all estimates (28), (29) and (30) together yields the proof of (27) *Proof of Theorem 3*. It is a consequence of Lemma 7 by observing that  $\Delta = \frac{1}{4} \frac{\partial^2}{\partial z \partial \bar{z}}$  and the condition

$$|\Delta u| \leq \frac{C}{|z|} |\nabla u|$$

is equivalent to

$$|\bar{\partial} v| \leq \frac{C}{|z|} |v|$$

where  $v = \frac{\partial}{\partial z} u$ .

We conclude the section by giving a simple example showing that the singularity in Lemma 7 cannot be replaced by  $1/|z|^{1+\epsilon}$ . Let us consider the equation

$$-\Delta u + \frac{c}{|z|^{1+\epsilon}} \nabla u = 0 \quad (31)$$

with  $c$  a constant vector. If we look for radial solutions of (31), we are led to consider the ode

$$r^2 u''(r) + (r - r^{1-\epsilon}) u'(r) = 0.$$

Letting  $v = u'$ , we come out with

$$r^2 v' + (r - r^{1-\epsilon})v = 0.$$

Now it is easy to see that

$$v = C e^{-r^{-\epsilon}} \quad (32)$$

for some constant  $C$ . So  $u$  determined by (32) vanishes of infinite order at 0, but is identically zero.

#### ACKNOWLEDGMENTS

The author thanks Professors B.A. Taylor, D. Barrett and Zhongyuan Li for their helpful comments. Thanks go to Professors S. Bell and L. Lempert whose paper [BL] led the author to consider the unique continuation problems in  $R^n$ .

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Received September 1991